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P.D.H.  
PATENT

Attorney Docket No. 4249.0002-05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 5/27/97

In re Application of:

John B. SULLIVAN et al.

Serial No. 08/405,483

Filed: March 15, 1997

For: ANTIVENOM COMPOSITION CONTAINING  
FAB FRAGMENTS (AS AMENDED)



Group Art Unit: 1816

Examiner: Ron Schwadron, Ph.D.

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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MAY 8 1997  
GROUP 1800

**SUBMISSION OF VERIFIED STATEMENT  
(DECLARATION) CLAIMING SMALL ENTITY STATUS**

In accordance with 37 C.F.R. § 1.27(a) and (c), Applicants file herewith a Verified Statement claiming small entity status. It is requested that the Verified Statement be entered in the above-referenced application.

It is requested that all fees charged in the future in this application be reduced fees appropriate for a small entity.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: May 6, 1997

By: Michael T. Siekman

Michael T. Siekman  
Reg. No. 36,276

LAW OFFICES

FINNEGAN, HENDERSON,  
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WASHINGTON, DC 20005  
202-408-4000

Applicant or Patentee: John SULLIVAN et al.

Attorney's Docket No. 4249 .0002-05

Serial or Patent No. 08/405,454

Filed or Issued: March 15, 1995

For: ANTIVENOM COMPOSITION CONTAINING FAB FRAGMENTS (As Amended)

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. 1.9(f) and 1.27(c))

I hereby declare that I am:

- ☐ the owner of the small business concern identified below
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN:

Therapeutic Antibodies Inc.

ADDRESS OF CONCERN:

1500 21st Avenue South, Suite 310,  
Nashville, TN 37212

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled ANTIVENOM COMPOSITION CONTAINING FAB FRAGMENTS (As Amended) by inventors John SULLIVAN and Findlay E. RUSSELL described in

- ☒ Application Serial No. 08/405,454, filed March 15, 1995.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(e).

Name: NONE

Address:

Entity Type:

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and that like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Timothy Chard, M.D.

Title of Person Other than Owner: Senior Vice President - Patent Affairs

Address of Person Signing: Therapeutic Antibodies Inc.  
St. Bartholomew's Medical College  
51-53 Bartholomew Close, London EC1A 7BE  
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T. CHARD

Signature

April 21st, 1992

Date

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